

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	23420-cpa
	First Inventor	Tommy Lee Osha
	Title	Comfort Management System for Equine
	Express Mail Label No.	EU926283697US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 48]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 14]
5. Oath or Declaration [Total Sheets 4]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Certificate of mailing under 1.10

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/243,704

Prior application information:

Examiner Elizabeth A. ShawArt Unit: 3644

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number: OR ☒ Correspondence address below

Name	Dennis A. DeBoo				
Address	DeBoo & Co.				
	400 Capitol Mall, Suite 900				
City	Sacramento	State	CA	Zip Code	95814
Country	US	Telephone	(916) 449-3983	Fax	(916) 449-3984

Name (Print/Type)	Dennis A. DeBoo	Registration No. (Attorney/Agent)	42,471
Signature	<i>Dennis A. DeBoo</i>	Date	September 24, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

31108 U.S. PTO
10/669735

09/24/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 375.00**Complete if Known**

Application Number	
Filing Date	September 24, 2003
First Named Inventor	Tommy Lee Osha
Examiner Name	
Art Unit	
Attorney Docket No.	23420-cpa

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit card ☐ Money Order ☒ Other ☐ None
☒ Deposit Account:

Deposit Account Number

50-0939

Deposit Account Name

Dennis A. DeBoo

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	375.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 375.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** = 0	X 0	= 0
Independent Claims	3	-3** = 0	X 0 = 0
Multiple Dependent		0	= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 00.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	1053 130	1812 2,520	1812 2,520	Surcharge - late provisional filing fee or cover sheet	
1804 920*	1804 920*	1805 1,840*	1805 1,840*	Non-English specification	
1805 1,840*	1805 1,840*	1251 110	2251 55	For filing a request for ex parte reexamination	
1251 110	2251 55	1252 410	2252 205	Requesting publication of SIR prior to Examiner action	
1252 410	2252 205	1253 930	2253 465	Requesting publication of SIR after Examiner action	
1253 930	2253 465	1254 1,450	2254 725	Extension for reply within first month	
1254 1,450	2254 725	1255 1,970	2255 985	Extension for reply within second month	
1255 1,970	2255 985	1401 320	2401 160	Extension for reply within third month	
1401 320	2401 160	1402 320	2402 160	Extension for reply within fourth month	
1402 320	2402 160	1403 280	2403 140	Extension for reply within fifth month	
1403 280	2403 140	1451 1,510	1451 1,510	Notice of Appeal	
1451 1,510	1451 1,510	1452 110	2452 55	Filing a brief in support of an appeal	
1452 110	2452 55	1453 1,300	2453 650	Request for oral hearing	
1453 1,300	2453 650	1501 1,300	2501 650	Petition to institute a public use proceeding	
1501 1,300	2501 650	1502 470	2502 235	Petition to revive - unavoidable	
1502 470	2502 235	1503 630	2503 315	Petition to revive - unintentional	
1503 630	2503 315	1460 130	1460 130	Utility issue fee (or reissue)	
1460 130	1460 130	1807 50	1807 50	Design issue fee	
1807 50	1807 50	1806 180	1806 180	Plant issue fee	
1806 180	1806 180	8021 40	8021 40	Petitions to the Commissioner	
8021 40	8021 40	1809 750	2809 375	Processing fee under 37 CFR 1.17(q)	
1809 750	2809 375	1810 750	2810 375	Submission of Information Disclosure Stmt	
1810 750	2810 375	1801 750	2801 375	Recording each patent assignment per property (times number of properties)	
1801 750	2801 375	1802 900	1802 900	Filing a submission after final rejection (37 CFR 1.129(a))	
1802 900	1802 900			For each additional invention to be examined (37 CFR 1.129(b))	
				Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Dennis A. DeBoo	Registration No. (Attorney/Agent)	42,471	Telephone	(916) 449-3983
Signature	<i>Dennis A. DeBoo</i>	Date	September 24, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10

Applicant: Tommy Lee Osha, Martin L. Rand, and Gary A. Berg

For: Comfort Management System for Equine

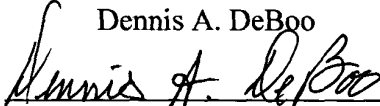
Paper:

1. A Utility Patent Application Transmittal Letter;
2. A Fee Transmittal Including Authorization to Charge Deposit Account (original and one copy);
3. A Declaration for Patent Application (copy from parent application – 4 pages);
4. Power of Attorney (copy from parent application – 3 pages);
5. A Patent Application (Utility) (comprised of pages 1 through 48 – copy from parent application);
6. Fourteen (14) sheets of drawing figures (comprised of figures 1 through 42 – copy from parent application);
7. A Preliminary Amendment;
8. Five (5) replacement sheets of formal drawing figures (comprised of figures 1 and 2; 9, 10, and 11; 16 and 17; 18 and 19; 41 and 42), and
9. A Return Receipt Card:

I hereby certify that the above identified correspondence, which is attached, is being deposited with the United States Postal Express Mail, Post Office to Addressee, mailing label #EU926283697US, with sufficient postage, in an envelope addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on September 24, 2003.

Dennis A. DeBoo

(Signature)
September 24, 2003
(Date of Signature)